Quantum mechanical NMR simulation algorithm for protein-size spin systems

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Nuclear magnetic resonance spectroscopy is one of the few remaining areas of physical chemistry for which polynomially scaling quantum mechanical simulation methods have not so far been available. In this communication we adapt the restricted state space approximation to protein NMR spectroscopy and illustrate its performance by simulating common 2D and 3D liquid state NMR experiments (including accurate description of relaxation processes using Bloch–Redfield–Wangsness theory) on isotopically enriched human ubiquitin – a protein containing over a thousand nuclear spins forming an irregular polycyclic three-dimensional coupling lattice. The algorithm uses careful tailoring of the density operator space to only include nuclear spin states that are populated to a significant extent. The reduced state space is generated by analysing spin connectivity and decoherence properties: rapidly relaxing states as well as correlations between topologically remote spins are dropped from the basis set.

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1. Introduction

The computing power required for nuclear magnetic resonance (NMR) simulations grows exponentially with the spin system size [1], and the current simulation capability is limited to about twenty spins [2]. Proteins are much bigger and the inability to accurately model their NMR spectra is a significant limitation. In particular, exponential scaling complications validate the need for protein NMR structures: an ab initio simulation of a protein NMR spectrum from atomic coordinates and list of spin interactions has not so far been feasible. It is also not possible to cut a protein up into fragments and simulate it piecewise without losing essential dipolar network information [3]. For this reason, some of the most informative protein NMR experiments (e.g. NOESY) are currently only interpreted using simplified models [4]. Very promising recent algorithms, such as DMRG [5,6], are also challenged by time-domain NMR simulations of proteins, which contain irregular three-dimensional polycyclic spin–spin coupling networks that are far from chain or tree topologies required by tensor network methods. In this communication we take advantage of the locality and rapid relaxation properties of protein spin systems and report a solution to the protein NMR simulation problem using restricted state spaces [7]. NOESY, HNCO and HSQC simulations of 15N-enriched human ubiquitin protein (over 1000 coupled spins) are provided as illustrations.

2. Simulation methods

The restricted state space approximation in magnetic resonance [7] is the observation that a large part of the density operator space in many spin systems remains unpopulated and can be ignored – the analysis of quantum trajectories in liquid state NMR indicates that only low orders of correlation connecting nearby spins are in practice engaged [7,8]. The reasons, recently explored [7–15], include sparsity of common spin interaction networks [7,8], the inevitable presence of spin relaxation [12,16], the existence of multiple non-interacting density matrix subspaces [11,13], the presence of hidden conservation laws [13] and simplifications brought about by the powder averaging operation [9,15]. It is possible to determine the composition of the reduced space a priori, allowing the matrix representations of spin operators to be built directly in the reduced basis set [12,13]. Taken together, this yields a polynomially scaling method for simulating liquid phase NMR systems of arbitrary size. Our final version of this method is described in this communication – we build the reduced operator algebra by only including populated spin product states in the basis. The populated subspace is mapped by analysing the topology of the spin interaction network. A rigorous accuracy analysis is highly technical and has been published separately [12].
There are two distinct spin interaction networks in NMR systems: the J-coupling network, defined by electron-mediated interactions that propagate through chemical bonds, and the dipolar coupling network, defined by through-space magnetic dipolar couplings between nuclei. In the liquid phase, these two networks have very different manifestations: the J-coupling network is responsible for multiplicity patterns observed directly in NMR spectra, whereas the dipolar network is partially responsible for line widths and cross-relaxation processes. Both networks are irregular, three-dimensional, and contain multiple interlocking loops that challenge current DMRG techniques [5,6]. In a typical NMR experiment, nuclear magnetisation flows across both networks and the locality of the operator basis set should therefore be understood as locality on the corresponding graphs.

After testing a variety of state space restriction methods [7,8,12–15], we propose the following procedure for generating the reduced basis set in liquid state NMR simulations:

1. Generate J-coupling graph (JCG) and dipolar coupling graph (DCG) from J-coupling data and Cartesian coordinates respectively. User-specified thresholds should be applied for the minimum significant J-coupling and maximum significant distance. Because spin interactions are at most two-particle, the computational complexity of this procedure and the number of edges in the resulting graphs scale quadratically with the number of spins.

2. Use the depth-first search algorithm [17] on both JCG and DCG to generate the complete list of connected overlapping subgraphs involving a user-specified number of spins. This number controls the approximation accuracy [12] and should be specified independently for JCG and DCG. The complexity of this procedure and the number of the resulting subgraphs scale linearly with the number of edges in JCG and DCG [17].

3. For each subgraph GS, generate a description of the corresponding spin subsystem. The dimension DS of this basis set is equal to the product of squares of multiplicities of each spin in GS and does not depend on the size of the overall spin system. The most convenient operator basis set is direct products of irreducible spherical tensors, where each basis operator has the following structure:

\[ \hat{T}_{lj,m_1} \otimes \hat{T}_{lj,m_2} \otimes \ldots \otimes \hat{T}_{lj,m_3} \otimes \ldots \otimes \hat{T}_{lj,m_{k_1}} \]

where \( \hat{T}_{lj,m} \) is an irreducible spherical tensor operator of rank \( l \) and projection \( m \) acting on spin \( j \) and \( |G_S| \) is the number of spins in subgraph \( G_S \). A useful feature of Eq. (1) is that matrix storage is avoided because the structure of each basis operator is completely determined by the index sequence \( \{l_j, m_j\} \). Therefore, the description of the complete operator basis of a given subgraph \( G_S \) requires \( 2|G_S|D_S \) integers of storage space and does not depend on the total number of spins in the system. The corresponding list of basis operator descriptors will henceforth be referred to as the “state list”.

4. Merge state lists of all subgraphs and eliminate repetitions caused by subgraph overlap. This procedure results in a basis set that contains only low orders of spin correlation (by construction, up to the size of the biggest subgraph) between spins that are proximate on JCG and DCG (by construction, because connected subgraphs were generated in Stage 2). At the same time, the resulting basis describes the entire system without gaps or cuts: once the subgraph state lists are merged and repetitions are eliminated, the result is a global list of spin operators that are expected to be populated during the spin system evolution based on the proposed heuristics of locality and low correlation order.

The accuracy of the basis set can be varied systematically by changing subgraph size in Stage 2 – the limiting case of the whole system corresponds to the formally exact simulation [12]. The basis set nomenclature implemented in our software library, called Spinach [18], and used for the simulations described below, is given in Table 1. The procedure described above runs in quadratic time with respect to the total number of spins in the system.

Once the active space is mapped, matrix representations should be built for relevant spin operators and state vectors. Experimentally encountered spin interactions are at most two-particle, and the Hamiltonian appearing in the equation of motion for the density operator is therefore a sum of at most two-spin operators with a known direct product structure [1]:

\[ \hat{H}_n = \alpha_n[\sigma_{n1} \otimes \sigma_{n2} \otimes \ldots \otimes \sigma_{nN}] \]  

where \( \alpha_n \) are interaction magnitudes, \( N \) is the total number of spins, and \( \sigma_{nk} \) are identity matrices, Pauli matrices or spherical tensor operators of dimension \( 2s_k + 1 \) in which \( s_k \) is the spin quantum number of \( k \)-th nucleus. The corresponding commutation superoperators \( \hat{H}_n^C \) can be written as differences between left-side and right-side product superoperators \( \hat{H}_n^L \) and \( \hat{H}_n^R \), defined by their action on a density operator \( \rho \):

\[ \hat{H}_n^C = \sum_n \hat{H}_n^C = \sum_n (\hat{H}_n^L - \hat{H}_n^R) \]  

\[ \hat{H}_n^L \rho = [H_n, \rho] = \hat{H}_n \rho - \rho \hat{H}_n \]  

\[ \hat{H}_n^R \rho = \rho \hat{H}_n \]  

Their faithful representations have exponential dimensions, but representations in low correlation order basis sets are cheap [13]. In a given operator basis \( \{\hat{O}_k\} \):

\[ \hat{H}_n^L \otimes \hat{O}_k = \text{Tr}(\hat{O}_k \hat{H}_n) = \text{Tr}(\hat{O}_k \hat{H}_n \hat{O}_k) \]

\[ - \text{Tr}\left( \sum_{m=1}^{N} \otimes \sigma_{m} \right) \sum_{m=1}^{N} \otimes \sigma_{m} \]

\[ \sum_{m=1}^{N} \otimes \sigma_{m} \]

Because dot products commute with direct products and the trace of a direct product is a product of traces, we have:

\[ \hat{H}_n^L \otimes \hat{O}_k = \text{Tr}\left( \sum_{m=1}^{N} \otimes \sigma_{m} \otimes \sigma_{nm} \otimes \sigma_{km} \right) \]

\[ = \prod_{m=1}^{N} \text{Tr}(\sigma_{m} \otimes \sigma_{nm} \otimes \sigma_{km}) \]

in which the dimension of individual matrices \( \sigma_{nk} \) is tiny and does not depend on the size of the spin system; the computational complexity of computing \( \text{Tr}(\sigma_{nm} \otimes \sigma_{nm} \otimes \sigma_{km}) \) is therefore \( O(1) \) and the complexity of computing one matrix element is \( O(N^3) \). The complexity of building the representation of the Hamiltonian in Eq. (3) to \( O(N^2D^2) \), where \( D \) is the dimension of the reduced basis set. The sparsity of spin Hamiltonians [19] and the fact that spin interaction networks in proteins are also sparse puts the practically observed scaling closer to \( O(N^2D^2) \) – a significant improvement on the \( O(4^D) \) best-case scaling of the adjoint direct product representation. This improvement is further amplified by the presence of unpopulated states even in the low correlation order subspace [8], by the existence of multiple independently evolving subspaces [13], and by the fact that not all of the populated states belong to the propagator group orbit of the detection state [11].

Matrix dimension, storage and CPU time statistics for a 512 × 512 point 1H–1H NOESY simulation of ubiquitin (573 protons, ~50,000 terms in the dipolar Hamiltonian) are given in Table 2. As demonstrated in Figs. 1 and 2, the simulation is in good agreement with the experimental data. The state space restriction approximation reduces the Hamiltonian superoperator dimension from \( 4^{173} \approx 10^{45} \) to 848,530. The reduced Hamiltonian is still sparse, and therefore within reach of modern matrix manipulation.
techniques – the simulation shown in Fig. 1 took less than 24 h on a large shared-memory computer. Importantly, the problem dimension remains too big for matrix factorizations: the recently developed diagonalization-free methods [16] are essential.

The storage of the system trajectory in the indirect dimension of the 2D NMR simulation shown in Fig. 1 requires 512 \times 848,530 complex doubles (6.96 GB) of memory. It is clear that 3D NMR simulations would put some strain on modern computing facilities. This would have been a difficult problem, were it not for a peculiar property of propagator semigroups – simulations can be partially run backwards, even in the presence of relaxation. The general algebraic summary is given below and a special case of the HNCO pulse sequence is illustrated in Fig. 3.

The free induction decay coming out of a 3D NMR experiment is a function of three evolution times \( t_1, t_2, t_3 \) and may be formally written as

\[
f(t_1, t_2, t_3) = \langle \bar{\sigma} | e^{-i\frac{\pi}{2} P_3} e^{-i\frac{\pi}{2} M_2} e^{-i\frac{\pi}{2} P_2} e^{-i\frac{\pi}{2} M_1} e^{-i\pi P_1} | \bar{\rho}_0 \rangle.
\]

where \( | \bar{\rho}_0 \rangle \) is the initial density matrix, \( | \bar{\sigma} \rangle \) is the detection state, \( \hat{L} \) is the background Liouvillian of the system comprising a Hamiltonian \( \hat{H} \) and a relaxation superoperator \( \hat{R} \). \( P_3, M_2, P_2, M_1, P_1 \) are preparation pulse and delay propagators, and \( M_0 \) are propagators of refocusing pulses in the middle of evolution periods. Because semigroups are associative, the result of Eq. (6) does not depend on the partitioning of Dirac brackets. In particular,

\[
f(t_1, t_2, t_3) = \langle \bar{\sigma} | e^{-i\frac{\pi}{2} P_3} e^{-i\frac{\pi}{2} M_2} e^{-i\frac{\pi}{2} P_2} e^{-i\frac{\pi}{2} M_1} e^{-i\pi P_1} | \bar{\rho}_0 \rangle
\]

This transformation splits a 3D NMR simulation into one forward 2D simulation from the initial state, one backward 2D simulation from the detection state and one dot product in the middle of evolution periods. Because semigroups are associative – for a typical protein 3D NMR simulations is IK-1(4,3) with the distance threshold of 4.0 Angstrom.

Table 1

<table>
<thead>
<tr>
<th>Basis set</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IK-0(n)</td>
<td>All spin correlations up to, and including, order n, irrespective of proximity on ( f )-coupling or dipolar coupling graphs. Generated with a combinatorial procedure, by picking all possible sets of n spins in the current spin system and merging state spaces of those sets. Recommended for testing and debugging purposes.</td>
</tr>
<tr>
<td>IK-1(n,k)</td>
<td>All spin correlations up to order n between directly ( f )-coupled spins (with couplings above a user-specified threshold) and up to order k between spatially proximate spins (with distances below the user-specified threshold). Generated by coupling graph analysis as described in the main text. The minimum basis set recommended for liquid state protein NMR simulations is IK-1(3,2) with the distance threshold of 3.0 Angstrom.</td>
</tr>
<tr>
<td>IK-2(n)</td>
<td>For each spin, all of its correlations with directly ( f )-coupled spins, and correlations up to order n with spatially proximate spins (below the user-specified distance threshold). Generated by coupling graph analysis as described in the main text. Recommended for very accurate simulations on very large computer systems with the distance threshold of 5.0 Angstrom or greater.</td>
</tr>
</tbody>
</table>

Table 2

<table>
<thead>
<tr>
<th>Basis set for the reduced state space (see Table 1)</th>
<th>IK-1(2,2)</th>
<th>IK-1(3,2)</th>
<th>IK-1(4,2)</th>
<th>IK-1(4,3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reduced state space dimension</td>
<td>29 k</td>
<td>56 k</td>
<td>210 k</td>
<td>849 k</td>
</tr>
<tr>
<td>Number of non-zeros in Hamiltonian superoperator</td>
<td>43 k</td>
<td>223 k</td>
<td>1420 k</td>
<td>2500 k</td>
</tr>
<tr>
<td>Number of non-zeros in relaxation superoperator</td>
<td>102 k</td>
<td>142 k</td>
<td>360 k</td>
<td>1800 k</td>
</tr>
<tr>
<td>Wall clock time (16 Sandy Bridge cores at 2.4 GHz)</td>
<td>20 min</td>
<td>58 min</td>
<td>8 h</td>
<td>24 h</td>
</tr>
</tbody>
</table>

All NMR spectra were recorded at 300 K on Bruker AVANCE-III 900 and Varian Inova600 spectrometers equipped with \(^1\text{H}, \ ^{13}\text{C}, \ ^{15}\text{N}\) triple-resonance probes. 8.0 mM solution of \(^{13}\text{C}, \ ^{15}\text{N}\) labelled human ubiquitin in D$_2$O, buffered at pH = 5.8 (uncorrected for deuterium isotope effect) with 50 mM phosphate buffer, was used in all experiments. All related compounds were obtained commercially and used without further purification. NOESY [21], HNCO [22] and HSQC [23] spectra were recorded as described in the papers cited. NMR signal acquisition and digital signal processing parameters (window functions, time-domain zero-filling, frequency offsets) between the theoretical simulations and the experimental data were matched. Simulation source code listing the specific
parameter values used is available at http://spindynamics.org as a part of the Spinach package [18] example set.

Currently available database records of protein chemical shifts are not complete [24,25] – rapidly exchanging protons, quaternary carbons and side chain nitrogens are often missing. The gaps in the chemical shift information were filled using literature average values reported by the BMRB database [25]. The following chemical shift data post-processing was then applied: symmetry-related methyl group protons (listed once in BMRB) were replicated using PDB coordinates; unassigned capping groups on C- and N-termini were ignored; all oxygen and sulphur atoms were removed (\( ^{16}\text{O} \), \( ^{34}\text{S} \) and \( ^{32}\text{S} \) nuclei have no spin); symmetry-related carbons and protons in PHE and TYR aromatic rings (listed once in BMRB) were replicated using PDB coordinates; protons of deuterated or exchanging groups, such as –OH or –NH\(_2\), were ignored; magnetically equivalent –CH\(_2\)– group protons (listed once in BMRB) were replicated using PDB coordinates. The amplitude and orientation of the anisotropic parts of chemical shift tensors were assigned to backbone nitrogen and carbon spins (for which the correct description of CSA is essential) from literature data [26–28]. Spin relaxation in the amino acid side chains was assumed to be dipole–dipole dominated. Matlab code listing the specific parameter values used is available as a part of the Spinach package [18].

While chemical shift data is a necessary outcome of NMR structure determination [3], complete \( J \)-coupling data is not expected to be available in the foreseeable future for any protein. We found that missing \( J \)-couplings can be obtained with sufficient accuracy (±25% is required for 2D/3D NMR simulations reported) from atomic coordinates using semi-empirical estimates, and implemented a graph-theoretical estimator with the following stages:

1. The molecular bonding graph is partitioned into connected subgraphs of size two, and one-bond \( J \)-couplings are assigned from a complete database of atom pairs. Our experience with ubiquitin indicates that there are fewer than 100 unique connected atom pairs in regular proteins, and that most one-bond \( J \)-couplings within those pairs can be either found in the literature [3], or measured in individual amino acids, or estimated with sufficient accuracy using electronic structure theory software [29].

2. The molecular bonding graph is partitioned into connected subgraphs of size three, and two-bond \( J \)-couplings assigned from a complete database of connected atom triples. The number of unique connected atom triples in proteins is also reasonable – we saw fewer than 150 in regular proteins, a small enough number for an exhaustive list to be compiled from experiments, literature and electronic structure theory estimates.

3. The molecular bonding graph is partitioned into sequentially connected subgraphs of size four and dihedral angles are computed from atomic coordinates, allowing three-bond \( J \)-couplings to be assigned from a complete database of Karplus curves [30], with angle averaging for sites designated as mobile. Karplus curves are a well-researched topic, with specific data available for the backbone and less accurate generic curves available for the rest of the structure [3]. The number of unique sequentially connected atom quartets found in proteins (fewer than 300, many belonging to similar structural types) was sufficiently small for a complete database of Karplus curves to be compiled from literature data, experiments, and electronic structure theory estimates.

\( J \)-couplings across more than three bonds were ignored. The effect of the electrostatic environment was also ignored – for the accuracy required for protein simulations its effect on \( J \)-coupling is small [31,32]. Matlab code listing the specific parameter values is available as a part of the Spinach package [18]. More accurate
J-coupling estimation methods are undoubtedly possible, but are beyond the scope of the present work – we should note very clearly here that this paper is an exercise in quantum mechanics rather than structural biology.

4. Results and discussion

Figs. 1, 2, 4 and 5 illustrate the quantitative agreement of the simulation results with experimental data. The few missing peaks in Figs. 4 and 5 correspond to either atoms missing from the database record or to spectral folding artefacts in the experimental data. The extra peaks appearing in the theoretical spectra correspond to the protons of the amino acid residues undergoing conformational exchange or chemical exchange with the deuterium of the solvent – they are invisible in proton NMR experiments.

Excellent agreement for the major NOESY cross-peak positions is apparent in Fig. 1. The observed residual scatter in NOESY cross-peak volumes shown in Fig. 2 is due to the following factors, whose detailed investigation we are leaving for future research:

1. A single set of atomic coordinates being used for the simulation. NMR structure determination runs produce structural ensembles with dozens or hundreds of molecular geometries consistent with a given NMR data set. Running protein-scale NMR simulations on a molecular dynamics ensemble would require much greater computational resources, but is likely to reduce the point scatter observed in Fig. 2.

2. A single global rotational diffusion tensor being used in the relaxation theory model in the simulations that produced Figs. 1, 2, 4 and 5 – a stochastic Liouville equation add-on.

![Diagram of bidirectional propagation method schematic for the simulation of 3D HNCO NMR experiment](image)

**Fig. 3.** Bidirectional propagation method schematic for the simulation of 3D HNCO NMR experiment [22]. Time is run forward from the initial condition to the middle of the $t_2$ period and backward from the detection state to the middle of the $t_2$ period. Both halves have the computational complexity of a 2D simulation and their scalar product generates the required 3D free induction decay. The channel labelled M represents analytical coherence selection and decoupling that are achieved by directly modifying the system state vector or Hamiltonian.

![Theoretical (right panel) and experimental (left panel) 3D HNCO NMR spectra of $^{13}$C, $^{15}$N labelled ubiquitin, obtained using the pulse sequence shown in Fig. 3.](image)

**Fig. 4.** Theoretical (right panel) and experimental (left panel) 3D HNCO NMR spectra of $^{13}$C, $^{15}$N labelled ubiquitin, obtained using the pulse sequence shown in Fig. 3. The minor differences in peak intensities are due to non-uniform partial deuteration of the protein by the solvent as well as: (A) aliasing of arginine N$_{\text{e}}$–H$_{\text{e}}$ signals from their position at ~90 ppm $^{15}$N chemical shift which is outside the spectral window – simulated spectra are free of this artefact; (B, C) rapid exchange of H$_{\text{e}}$ protons in GLU24 and GLY53 with the deuterium of the solvent – the corresponding signals are lost in the noise in the experimental data.
[33] would likely produce a better fit. Lipari–Szabo restricted local motion models [4] are another possibility – for the purposes of ab initio protein NMR simulations, the relevant local motion parameters may be extracted from molecular dynamics data [34].

The simulation method described in the present work is potentially very accurate – the restricted state space approximation holds well for liquid state NMR spin systems [12] and the relaxation theory algorithm used [16] fully implements Bloch–Redfield–Wangsness theory [35–37]. With representative structural ensembles, accurate coupling values and appropriate spectral density functions, simulations of protein NMR spectra using the method described above can reasonably be expected to match the experimental data to instrumental accuracy.

Simulations shown in Figs. 1–5 are currently on the brink of impossibility (over 500 Gb of RAM is required), but the results are encouraging – liquid state NMR spectra of realistic protein spin systems can now be simulated. This opens the following research avenues:

1. Whole-protein optimisation and benchmarking of NMR pulse sequences. We have published our preliminary work on the subject, dealing with a small fragment [38] – the algorithms described above enable protein-scale effort in that direction.
2. Optimal Control optimisation of biomolecular NMR experiments. Spinach already includes an Optimal Control module [39,40] – it is now possible to adapt it for HSQC, HNCO, HNCOCA and other protein NMR pulse sequences.
3. Automatic protein NMR structure validation. Structure validation can be defined as making sure that atomic coordinates coming out of a crystallographic or NMR experiment correspond to reality and eliminating any mismatches between the mathematical solution and the true biological structure. The critical step in that process – quantum mechanical back-calculation of protein NMR spectra – is now becoming possible.

Taking a more distant and speculative view, it could eventually become feasible to run protein NMR structure determination and validation directly from atomic coordinates, using ab initio or DFT methods to predict spin interaction parameters and then the methods described above to generate candidate NMR spectra for least squares fitting. Such “direct structure fitting” has been demonstrated for EPR of small molecules [41]. Its routine use would require significant improvements in the accuracy of quantum chemistry methods, but such improvements are quite likely in the next 10 years.

5. Conclusions

The algorithm reported results in the reduction of liquid state NMR simulation time of protein-scale spin systems by many orders of magnitude – a considerable improvement over brute-force simulations using direct product techniques [1,20]. The method reported above does not require the spin system to be linear or regular, and does not require any modifications to the existing simulation code – the reduced operator matrices are drop-in replacements of their full-dimensional counterparts in the direct product formalism [1]. All procedures and examples described above are available as a part of our Spinach software library [18].

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